

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jun Yonemitsu et al.  
Serial No. : 09/522,786  
Filed : March 7, 2000  
For : OPTICAL DISK AND METHOD AND APPARATUS FOR RECORDING AND THEN PLAYING INFORMATION BACK FROM THAT DISK  
Art Unit : 2786

Assistant Commissioner for Patents  
Washington, D.C. 20231

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800



Sir:  
Transmitted herewith is an amendment to the above-identified application.  
☒ No additional fee is required.  
The fee has been calculated as shown below.  
This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

Claims as Amended

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	(7) Additional fee
Total claims	* 66	Minus	** 66 =	* 0 x	\$18 (9)	= \$
Independent claims	* 4	Minus	*** 4 =	* 0 x	\$78(39)	= \$
Total additional fee for this amendment						\$

RECEIVED

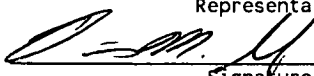
JUN 7 2000

\* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.  
\*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.  
\*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

- This application contains a multiple dependent claim. The required fee of \$250(125) has been previously paid \_\_, or is paid herewith \_\_.
- This response is being filed within the month following the expiration of the term originally set therefor. This is a petition to request a -month extension of time. A check covering the cost of the petition is enclosed.
- A check in the amount of \$\_\_ is attached, which covers the cost of \_\_ additional claims \_\_ petition for extension of time.
- Charge \$\_\_ to Deposit Account No. 50-0320.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.


I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on May 30, 2000

Dennis M. Smid, Reg. No. 34,930  
Name of Applicant, Assignee or Registered Representative

  
Signature  
May 30, 2000  
Date of Signature

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By:   
Dennis M. Smid  
Reg. No. 34,930  
Tel. (212) 588-0800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Jun Yonemitsu et al.

Serial No. : 09/522,786

For



OPTICAL DISK AND METHOD AND  
APPARATUS FOR RECORDING AND THEN  
PLAYING INFORMATION BACK FROM THAT  
DISK

Filed : March 7, 2000

Art Unit : 2786

#7/A  
LOT  
11-7-00  
Entered

745 Fifth Avenue  
New York, NY 10151

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the United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner for Patents, Washington,  
DC 20231, on May 30, 2000.

Dennis M. Smid, Reg. No. 34,930

\_\_\_\_\_  
Name of Applicant, Assignee or Registered Representative

A handwritten signature in dark ink, appearing to read "D. M. Smid".

\_\_\_\_\_  
Signature

May 30, 2000

\_\_\_\_\_  
Date of Signature

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JUN - 7 2000  
TECH CENTER 2700

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Prior to its examination, please amend the above-entitled application as follows: